

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled DATA STORAGE DEVICE, APPARATUS AND METHOD OF USING SAME the specification of which:

(check one) ☐ is attached hereto.

☒ was filed on October 20, 2000 as application Serial No

09/693,271

and

was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Priority Claimed

(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
	NONE			
			Yes	No
			Yes	No
			Yes	No

I hereby claim the benefit under Title 35, United States Code, § 119 and 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States code § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Serial No. <u>60/693,271</u>	<u>October 23, 1999</u>	<u>Pending as of 10/20/2000</u>
(Application Serial No.)	(Filing Date)	(Status-patented, pending, abandoned)
(Application Serial No.)	(Filing Date)	(Status-patented, pending, abandoned)

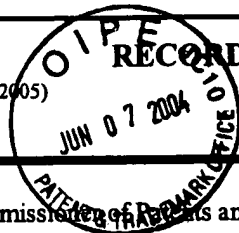
I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Daniel J. Meaney, Jr., Reg. 22179
 Address all telephone calls to Daniel J. Meaney, Jr., at telephone no. (805) 687-6909
 Address all correspondence to Daniel J. Meaney, Jr., Esq.
P. O. Box 22307
Santa Barbara, California 93121

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief and are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor Donald C. Mann
 First Inventor's signature Donald C. Mann Date May 22, 2001
 Residence Thousand Oaks, California Citizenship USA
 Post Office Address 750 Camino Las Conchas, Thousand Oaks, California 91360

Full Name of second joint inventor, if any Bert D. Cook, Jr.
 Second Inventor's signature Bert D. Cook, Jr. Date May 29, 2001
 Residence Morgan Hill, California Citizenship USA
 Post Office Address 1125 Easy Street, Morgan Hill, California 95037
 263 5580decl



RECORDATION FORM COVER SHEET
PATENTS ONLY

U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

Atty. Dkt. No.: ULT-001-1

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

MANN, Donald C.; and COOK, Jr., Bert D.

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

2. Name and address of receiving party(ies):

Name: **ULTRACARD, INC.**

Street Address: **980 University Avenue**

City/State/Zip: **Los Gatos, CA 95032**

Additional name(s) & address(es) attached?

☐ Yes ☒ No

Execution Date: May 22, 2001 & May 29, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s): **09/693,271**

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name:

**James E. Parsons, Esq.
Bever, Hoffman & Harms, LLP
2099 Gateway Place, Suite 320
San Jose, CA 95110-1017**

6. Total number of applications and patents involved: **[1]**

7. Total fee (37 CFR 3.41) **\$40.00**

- ☐ Enclosed
☒ Authorized to be charged to deposit account

8. Deposit account number: **50-0574**
(Attorney Docket No.: ULT-001-1)

DO NOT USE THIS SPACE

9. Signature.

James E. Parsons

Name of Person Signing

Signature

January 21, 2004

Date

Total number of pages including cover sheet, attachments, and document: **[5]**

Mail documents to be recorded with required cover sheet information to:

Mail Stop Assignment Recordation Services
Director of the U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

ASSIGNMENT
(Joint Inventors)

Whereas, we, DONALD C. MANN, BERT D. COOK, JR., WILLIAM ZEISSNER and ROBERT L. PARKISON, all citizens of the United States of America, and residents of Thousand Oaks, California, Morgan Hill, California, Fountain Valley, California and Placentia, California, respectively, and whose addresses appear below (hereinafter collectively referred to as "ASSIGNORS"), have invented certain new and useful improvements in DATA STORAGE DEVICE, APPARATUS AND METHOD FOR USING SAME (hereinafter referred to as the "INVENTION") for which an application for United States Letters Patent was

— executed on even date herewith

— executed on:

X filed on: October 20, 2000

Serial No.: 09/693,271

— established by PCT International Patent Application No.:

Filed:

WHEREAS, ULTRACARD, INC., a California corporation, whose post office address is 980 University Avenue, Los Gatos, California 95032 (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring the entire right, title and interest in and to the same in the United States and throughout the world;

NOW, THEREFORE, for good and valuable consideration, receipt of which is hereby acknowledged, we, ASSIGNORS, by these presents do sell, assign and transfer until said ASSIGNEE, the entire right, title and interest in and to the said INVENTION and application throughout the United States of America, including any and all United States Letters Patent granted on any division, continuation, continuation-in-part and reissue of said application; and the entire right, title and interest in and to the said INVENTION throughout the world, including the right to apply for patents and inventor certificates in respect thereof and to claim priority pursuant to rights accorded ASSIGNORS under the terms of the Paris International Convention and all other available international conventions and treaties; and the entire right, title and interest in and to any and all patents, patents of addition, utility models, patents of importation, revalidation patents and inventor certificates which may be granted throughout the world in respect of said INVENTION.

ALSO, ASSIGNORS hereby agree to execute any documents that legally may be required in connection with the filing, prosecution and maintenance of said application or any other patent application(s) or inventor certificate(s) in the United States and in foreign countries for said INVENTION, including additional documents that may be required to affirm the rights of ASSIGNEE in and to said INVENTION, all without further consideration. ASSIGNORS also agree, without further

consideration and at ASSIGNEE'S expense, to identify and communicate to ASSIGNEE at ASSIGNEE'S request documents and information concerning the INVENTION that are within ASSIGNORS' possession or control, and to provide further assurances and testimony on behalf of ASSIGNEE that lawfully may be required of ASSIGNORS in respect of the prosecution, maintenance and defense of any patent application or patent encompassed within the terms of this instrument. ASSIGNORS' obligations under this instrument shall extend to ASSIGNORS' heirs, executors, administrators and other legal representatives.

ASSIGNORS hereby authorize and request the Commissioner of Patents and Trademarks to issue any and all United States Letters Patent referred to above to ASSIGNEE, as the ASSIGNEE of the entire right, title and interest in and to the same, for ASSIGNEE'S sole use and behoof; and for the use and behoof of ASSIGNEE'S legal representatives and successors, to the full end of the term for which such Letters Patent may be granted, as fully and entirely as the same would have been held by ASSIGNORS had this assignment and sale not been made.

IN WITNESS WHEREOF, we have hereunto signed our names on the date and year set forth below.

Assignors

Place of Signing: Campbell, California
Full Name of Assignor: Donald C. Mann
Assignor's Signature: *Donald C. Mann* Date: May 22, 2001
Residence Address: 750 Camino Las Conchas
Thousand Oaks, California 91360

Place of Signing: Campbell, California
Full Name of Assignor: Bert D. Cook, Jr.
Assignor's Signature: *Bert D. Cook, Jr.* Date: May 29, 2001
Residence Address: 1125 Easy Street
Morgan Hill, California 95037

Place of Signing: Fountain Valley, California
Full Name of Assignor: William Zeissner
Assignor's Signature: *William Zeissner* Date: May 29, 2001
Residence Address: 8728 Frazer River Circle
Fountain Valley, California, 92708

Place of Signing: Placentia, California
Full Name of Assignor: Robert L. Parkison
Assignor's Signature: *Robert L. Parkison* Date: May 29, 2001
Residence Address: 507 Shoshoni Avenue
Placentia, California 92870

STATE OF CALIFORNIA)
) ss.
COUNTY OF SAN JOSE)

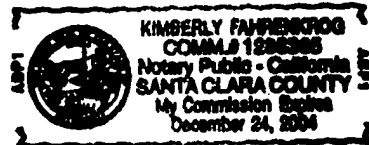
On this 22 day of May, 2001,
before me, Kimberly Fahrenkrog, a
Notary Public in and for said County
and State, personally appeared Donald
C. Mann and Bert D. Cook, Jr.
personally known to me (or proved to
me on the basis of satisfactory
evidence) to be the persons whose
names are subscribed to the within
instrument and acknowledged to me that
they executed the same in their
authorized capacity, and that by their
signature on the instrument the
person, or the entity upon behalf of
which the person acted, executed the
instrument.

WITNESS my hand and official seal.

Signature

Kimberly Fahrenkrog

FOR NOTARY SEAL OR STAMP



STATE OF CALIFORNIA)
) ss.
COUNTY OF ORANGE)

On this ____ day of May, 2001,
before me, _____, a
Notary Public in and for said County
and State, personally appeared William
Zeissner and Robert L. Parkinson,
personally known to me (or proved to
me on the basis of satisfactory
evidence) to be the persons whose
names are subscribed to the within
instrument and acknowledged to me that
they executed the same in their
authorized capacity, and that by their
signature on the instrument the
person, or the entity upon behalf of
which the person acted, executed the
instrument.

WITNESS my hand and official seal.

Signature

FOR NOTARY SEAL OR STAMP